

**APPENDIX 5 DECLARATION OF ACCREDITED INVESTOR STATUS BY EACH PERSON  
OWNING SHARES IN THE SHARE CAPITAL OF THE APPLICANT**

Name (as in NRIC / Passport): .....

NRIC / Passport number: .....

I hereby declare that:

(I) I am an owner of ..... ordinary/preference\* shares in the share capital of ..... (the “**Applicant**”);

(ii) I qualify to be treated as an accredited investor under Section 4A(1)(a)(i) of the Securities and Futures Act (Cap. 289), being an individual whose:

- net personal assets<sup>1</sup> exceed S\$2,000,000 (or its equivalent in a foreign currency) in value, of which no more than S\$1,000,000 (or its equivalent in a foreign currency) in value is contributed by the net estimated fair market value of my primary residence<sup>2</sup>;

One of the following supporting documents (except for the title deed, each to be dated no earlier than three (3) months before the date of submitting this declaration) has been enclosed:

- copy of latest bank account statement or CPF statement
- copy of latest statement of holdings from CDP or brokerage firm(s)
- copy of title deed for properties and valuation report or, in place of valuation report, most recent transacted price of property according to publicly available data published by reputable authority (e.g. URA)
- financial assets (net of any related liabilities<sup>3</sup>) exceed S\$1,000,000 in value (or its equivalent in a foreign currency)

You may calculate your financial assets by totalling the value of any of the following assets that you own:

- a deposit as defined in Section 4B of the Banking Act (Cap. 19)
- an investment product as defined in section 2(1) of the Financial Advisers Act (Cap. 110)
- any other asset prescribed as a “financial asset” for purposes of

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\*Delete where applicable

<sup>1</sup> You may calculate your net personal assets by subtracting your liabilities from the total value of your assets.

<sup>2</sup> Please note that “primary residence” refers to the home where you live in the most of the time. This can be located in Singapore or overseas.

<sup>3</sup> Please note that “related liabilities” include a margin account and credit lines taken to finance an investment portfolio.

establishing accredited investor status by MAS.

Please specify: .....

- Supporting document(s) have been enclosed.
- income in the preceding twelve (12) months is not less than S\$300,000 (or its equivalent in a foreign currency).

One of the following supporting documents (each to be dated no earlier than three (3) months before the date of submitting this declaration) has been enclosed:

- copy of your pay slip
- copy of your employment contract stating your position and income.
- copy of latest income tax assessment

**DECLARATION**

In completing, signing, and returning this Appendix 5 to Orient Futures International (Singapore) Pte. Ltd. ("**Orient Futures**") in connection with the Applicant's account opening application to Orient Futures, I represent and warrant to Orient Futures that the information and confirmations I have provided in this Appendix 5 and any other documentation that I provide to Orient Futures is complete, true, and accurate. If there is any change in circumstances relating to my (i) net personal or financial asset holdings or income that may affect any of my declarations and representations set out above or (ii) status as an accredited investor under Singapore law such that I cease to qualify to be an accredited investor at any time, I undertake to inform Orient Futures within fourteen (14) working days of such change in circumstances. If such changes cannot be rectified, I acknowledge and accept that Orient Futures has full discretion to decide to refrain from treating me and the Applicant as an accredited investor.

SIGNED: .....

FULL NAME: .....

NRIC/PASSPORT: .....

DATE: .....(DD/MM/YYYY)